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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Charles E. Taylor and Shek Fai Lau
Appl. No.: 10/023,460
Confirm. No.: 3479
Filed: December 13, 2001
Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER
WITH PIN-RING ELECTRODE CONFIGURATION

PATENT APPLICATION

Art Unit: 1741
Examiner: Unknown

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Art Unit 1741, Washington, DC 20231**, on February 28, 2002.

(Attorney Signature)

Scott D. Sanford, Reg. No. 51,170
Signature Date: February 28, 2002

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents
Art Unit 1741
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated January 29, 2002, enclosed are the following documents in connection with the above-identified application:

- ☒ Copy of Notice to File Missing Parts -- Filing Date Granted
- ☒ Declaration and Power of Attorney for Patent Application
- ☒ Assignment and Assignment Recordation Form Cover Sheet
- ☒ Power of Attorney
- ☒ Request to Correct Filing Receipt

Total Fee

The Total Fee associated with this communication has been calculated as shown below:

✓	Assignment recording fee (\$40.00)	\$ 40.00
✓	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or Declaration:	
✓	Large Entity \$130.00	\$130.00

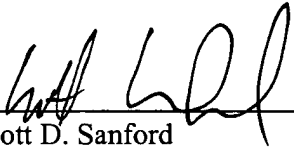
TOTAL FEE DUE:	<u>\$170.00</u>
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Method of Payment of Fees

- ✓ A check in the amount of the TOTAL FEE DUE is enclosed.
- ✓ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: 2.28.02

By: 
Scott D. Sanford
Reg. No. 51,170

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Charles E. Taylor and Shek Fai Lau
Appl. No.: 10/023,460
Confirm. No.: 3479
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Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER
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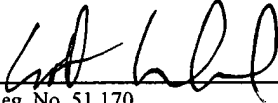
PATENT APPLICATION

Art Unit: 1741
Examiner: Unknown

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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(Attorney Signature)

Scott D. Sanford, Reg. No. 51,170
Signature Date: February 28, 2002

REQUEST TO CORRECT FILING RECEIPT

Box Missing Parts
Commissioner for Patents
Art Unit 1741
Washington, DC 20231

Sir:

Review of the Filing Receipt for the above-identified patent application has revealed an error due to mistake of the Patent and Trademark Office.

The title of the Application is incorrect. The correct title is:

ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER WITH PIN-RING ELECTRODE CONFIGURATION

A copy of the Filing Receipt with the corrections indicated in red ink is enclosed for your convenience.

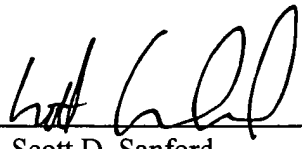
Pursuant to 37 C.F.R. §1.322, the undersigned attorney of record, as a representative of an owner of an interest in the patent, requests that a Corrected Filing Receipt be issued.

Applicants believe that there is no fee associated with this communication. However, the Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment

associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: 2.28.02

By: 
Scott D. Sanford
Reg. No. 51,170

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/023,460 ✓	12/13/2001 ✓	1741	0.00	SHPR- 01041USJ SRM	✓ 12	37 ✓	3 ✓

Sheldon R. Meyer
FLIESLER DUBB MEYER & LOVEJOY LLP
Fourth Floor
Four Embarcadero Center
San Francisco, CA 94111-4156

RECEIVED
FEB 05 2002
FLIESLER DUBB
MEYER & LOVEJOY

CONFIRMATION NO. 3479 ✓

FILING RECEIPT



OC000000007384074

Date Mailed: 01/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Charles E. Taylor, Sebastopol, CA; ✓✓
Shek Fai Lau, Foster City, CA;

Assignment For Published Patent Application

Sharper Image Corporation; ✓✓

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/306,479 07/18/2001 ✓✓
AND A CON OF 09/730,499 12/05/2000
WHICH IS A CON OF 09/186,471 11/05/1998 PAT 6,176,977

Foreign Applications

If Required, Foreign Filing License Granted 01/28/2002 ✓

Projected Publication Date: To Be Determined - pending completion of Missing Parts ✓

Non-Publication Request: No ✓

Early Publication Request: No ✓

Fliesler, Dubb, Meyer & Lovejoy
File: SHPR - 01041 USJ
Action Item: Action #1?
Date Due: February 13, 2003
Critical Date: February 13, 2003
Attorney Path: SRM/SDS
Docketed By: mlr
Verified By: [Signature]

Electro-Kinetic Air Transporter-
Conditioner With Pin-Ring Electrode
Configuration
~~Electro-kinetic air transporter-conditioner~~ ✓

Title

Preliminary Class

204

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/023,460	12/13/2001	Charles E. Taylor	SHPR-01041USJ SRM

CONFIRMATION NO. 3479

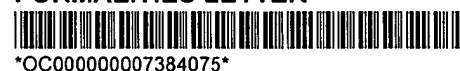
Sheldon R. Meyer
FLIESLER DUBB MEYER & LOVEJOY LLP
Fourth Floor
Four Embarcadero Center
San Francisco, CA 94111-4156

RECEIVED

FORMALITIES LETTER

FEB 05 2002

FLIESLER DUBB
MEYER & LOVEJOY



OC000000007384075

Date Mailed: 01/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)***Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$306.
 - \$306 for 17 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1176.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/20/2002 HH0HAMM1 00000012 061325 10023460

01 FC:101 740.00 CH
02 FC:103 306.00 CH

03/13/2002 JADD01 00000076 10023460 130.00 DP
01 FC:105